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1 **HOW THE INITIAL LEVEL OF TRUST IN AUTOMATED DRIVING IMPACTS**  
2 **DRIVERS' BEHAVIOUR AND EARLY TRUST CONSTRUCTION**

3  
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10

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12 **ABSTRACT**

13 Trust in Automation is known to influence human-automation interaction and user  
14 behaviour. In the Automated Driving (AD) context, studies showed the impact of drivers'  
15 Trust in Automated Driving (TiAD), and linked it with, e.g., difference in environment  
16 monitoring or driver's behaviour. This study investigated the influence of driver's initial  
17 level of TiAD on driver's behaviour and early trust construction during Highly Automated  
18 Driving (HAD). Forty drivers participated in a driving simulator study. Based on a trust  
19 questionnaire, participants were divided in two groups according to their initial level of  
20 TiAD: high (Trustful) vs. low (Distrustful). Declared level of trust, gaze behaviour and  
21 Non-Driving-Related Activities (NDRA) engagement were compared between the two  
22 groups over time. Results showed that Trustful drivers engaged more in NDRA and  
23 spent less time monitoring the road compared to Distrustful drivers. However, an  
24 increase in trust was observed in both groups. These results suggest that initial level of  
25 TiAD impact drivers' behaviour and further trust evolution.

26

27 **Keywords:** trust in automation, automated driving, driver's behaviour

## 28        **1. INTRODUCTION**

29        Automated driving is becoming part of traffic flows, and such technology raises new  
30        questions. As automation capabilities progress, driver-vehicle interaction and  
31        cooperation evolve (Navarro, 2019). For example, highly automated driving (HAD, level  
32        4, SAE, 2016) provides full control of the driving task, allowing drivers to focus entirely  
33        on non-driving-related activities (NDRA), since the vehicle can perform a minimum-risk  
34        manoeuvre if the driver is unable to resume manual control.

35        Trust is a key element that influences human-machine interaction (HMI) in many ways  
36        (Lee & See, 2004; Parasuraman & Riley, 1997), deeply impacting human-system overall  
37        performance (Lee & Moray, 1992), and being a determinant of automation usage as well  
38        (Parasuraman & Riley, 1997; Schaefer et al., 2016). Trust in automation (TiA) is  
39        commonly defined as *“the attitude that an agent will help achieve an individual’s goals in*  
40        *a situation characterized by uncertainty and vulnerability”* (Lee & See, 2004). TiA has  
41        been widely studied during recent decades among automation experts (e.g., plane  
42        pilots, power plant supervisors; see Parasuraman & Riley, 1997) as a major reliance  
43        factor (e.g., Lee & See, 2004; Sheridan, 2019). Drivers have very diverse profiles in  
44        terms of level of experience, abilities, and mental and physical condition. They also  
45        have various expectations related to HAD that are a product of biased mental models  
46        created by cultural elements such as advertising, misconceptions, and hearsay.  
47        Furthermore, driving contexts are also varied and highly changing. This combination of  
48        factors seems to support a relatively new paradigm which leads to considering trust in  
49        automated driving (TiAD) as a different, although closely related, construct from TiA  
50        (Manchon et al., 2020).

51 TiAD is defined here as the attitude that a driver has about HAD, which allows drivers to  
52 delegate the driving task to automation to improve safety and comfort, although the risk  
53 of accidents continues to exist.

54 TiA seems to be high when operators' self-confidence is low, but low in the opposite  
55 situation (Lee & Moray, 1994). Most drivers might be prone to misjudging their driving  
56 skills, leading to poorly calibrated TiAD (Wintersberger & Riener, 2016), and this raises  
57 concerns about trust calibration during their interactions with the HAD system. Trust  
58 calibration describes the conformity of an operator's TiA with actual automation  
59 capabilities (Lee & See, 2004). When this calibration is not correct, two types of  
60 situations may develop. For example, excessive trust could lead to hazardous  
61 conditions when using this kind of system in traffic (Hancock et al., 2011; Hoff & Bashir,  
62 2015; Parasuraman & Riley, 1997), as has been shown by the many accidents due to  
63 poor advanced driver-assistance systems (ADAS) monitoring (e.g., NHTSA, 2017). On  
64 the other hand, distrust might be equally dangerous in specific circumstances, such as  
65 in the case of a driver who maintained manual control while experiencing drowsiness. It  
66 is, therefore, important to understand drivers' trust-calibration process in order to design  
67 well-accepted and safer automated driving systems that inspire a proper level of trust  
68 (Helldin et al., 2013; Zhang et al., 2019).

69 Three main TiA layers have been highlighted (Hoff & Bashir, 2015; Marsh & Dibben,  
70 2003). First, dispositional trust reflects the operator's stable and overall tendency to TiA  
71 and depends on factors such as age, gender, culture, and personality traits. Next,  
72 situational trust is influenced by current situational characteristics (e.g., workload or  
73 perceived risks) and the operator's contextual mental state (e.g., fatigue or mood).

74 Finally, learned trust is initially defined by prior experiences, beliefs, and knowledge, but  
75 it is dynamically updated during interaction, in relation to the automation's specific  
76 features and design. These three layers have an increasingly strong influence on the  
77 operator's reliance on the automation (Hoff & Bashir, 2015).

78 Automation's characteristics, which are linked mainly to learned trust, are known to  
79 influence human-robot trust (Hancock et al., 2011). Some of these elements have been  
80 studied in the context of TiAD, using driving simulators or Wizard of Oz vehicles. For  
81 example, an aggressive automated driving style seemed to decrease drivers' trust, while  
82 a lawful driving style increased it (Morris et al., 2017). This is consistent with previous  
83 results which noted that drivers most often preferred a defensive driving style (Strauch  
84 et al., 2019; Yusof et al., 2016). Nevertheless, other studies have found no primary  
85 effect of the driving style when comparing familiar (i.e., close to one's own manual style)  
86 vs. unfamiliar styles (Hartwich, Beggiano, et al., 2018) or familiar vs. defensive vs.  
87 dynamic driving styles (Beggiano et al., 2020). In some cases, automated driving had to  
88 anticipate and execute actions earlier than the driver would have done so (i.e., allowing  
89 a wider safe distance while passing another vehicle) to achieve the same declared level  
90 of trust (Abe et al., 2018). It was also found that manual driving inspired more trust on  
91 passengers' behalf than automated driving did (Strauch et al., 2019). These results  
92 were later confirmed, showing that a lack of familiarity with and knowledge of such  
93 systems decreased passengers' levels of TiAD, compared to human control (Schmidt et  
94 al., 2021). This finding may indicate that unexpected and sudden manoeuvres are likely  
95 to decrease drivers' TiAD. However, several studies have shown that takeover requests  
96 (TOR) did not decrease trust and increased drivers' understanding of the system. The

97 authors postulated that TOR were, therefore, not considered as automation failures, but  
98 rather as normal automated driving features in these cases (Hergeth et al., 2016, 2017,  
99 2015). Nevertheless, another study found that trust decreased after repeated TOR  
100 (Kraus, Scholz, Stiegemeier, et al., 2020), suggesting that such critical situations may  
101 have a negative impact on TiAD in some cases.

102 Regarding factors that influence drivers' dispositional and initial learned trust (Hoff &  
103 Bashir, 2015), studies showed that age (Hartwich, Beggiato, et al., 2018; Hartwich,  
104 Witzlack, et al., 2018) and personality traits (Kraus, Scholz, & Baumann, 2020) allowed  
105 for the prediction of TiAD in some situations. Initial information given about system  
106 performance may also have an impact on future trust construction (Kraus et al., 2019),  
107 because drivers' expectations and mental models are related to TiAD (Beggiato,  
108 Pereira, et al., 2015; Forster et al., 2019). Drivers' trust seems to increase with  
109 knowledge about system features (Khastgir et al., 2018) and familiarization with TOR  
110 (Hergeth et al., 2017). Promoting TiAD through positive textual or video information was  
111 also linked with longer glances towards a non-driving-related task (NDRT) compared to  
112 a lowered TiAD (Körber et al., 2018). This engagement in NDRT seems to be a reliable  
113 indication of drivers' TiAD and has been showed to correlate with their levels of driving  
114 automation (Beggiato, Hartwich, et al., 2015) and experience with the system (Forster et  
115 al., 2020). In addition, a correlation between lower self-reported trust and higher road  
116 monitoring have also been exhibited (Hergeth et al., 2016; Payre et al., 2017).  
117 Therefore, trust affects not only drivers' performance but also their visual strategies and  
118 non-driving related behaviour. Further, the initial level of TiAD seems to have an impact

119 on trust construction and automation use during HAD (Beggiato, Hartwich, et al., 2015;  
120 Hartwich et al., 2020), which is the main focus of this paper.

121 The effect of the initial level of TiAD on drivers' behaviour among Trustful vs. Distrustful  
122 drivers was examined during the early use of a simulated automated driving system,  
123 specifically, during their first 30 minutes of experience with HAD. This allowed  
124 researchers to better understand driver's trust calibration processes at different  
125 moments during the early stages of HAD use and provided deeper insights into the  
126 influence of individual differences (i.e., the initial level of trust) in the driving context.  
127 Because HAD will likely be implemented first for highway use or in traffic jams  
128 (Wintersberger & Riener, 2016)—as has been confirmed by various studies (Becker &  
129 Axhausen, 2017; Kaur & Rampersad, 2018)—a monotonous highway scenario including  
130 two critical situations was used in this study. The HAD system in the present study did  
131 not trigger TOR during these situations, which furthers the understanding of drivers'  
132 trust construction and their engagement in spontaneous activities in such contexts. This  
133 research also investigated the visual strategies that are employed by drivers when they  
134 are not required to explicitly monitor the driving environment. Drivers' declared level of  
135 trust is known to increase over time when experiencing driving automation (Bueno et al.,  
136 2016; Gold et al., 2015; Hartwich, Witzlack, et al., 2018; Hergeth et al., 2016, 2017;  
137 Körber et al., 2018; Kraus, Scholz, Stiegemeier, et al., 2020). In the current experiment,  
138 it was hypothesized that drivers' level of trust would increase along with HAD use (H1).  
139 A low initial level of TiAD might be due to a poor mental model concerning HAD  
140 systems, which is likely to be readjusted when drivers experience simulated HAD  
141 (Beggiato & Krems, 2013). It was, therefore, hypothesized that the trust gain would be



142 greater for Distrustful drivers than for Trustful drivers (H2) and that the differences  
143 between both groups would still be present at the end of the experiment (H3). Moreover,  
144 it has been argued that, for a correct trust calibration, operators need to experience  
145 system boundaries (Moray & Inagaki, 1999; Wintersberger et al., 2016). Considering the  
146 effects that TOR have on drivers' TiAD (Hergeth et al., 2016, 2017; Kraus, Scholz,  
147 Stiegemeier, et al., 2020), it was expected that the critical situations would lead to an  
148 abrupt increase of driving environment monitoring among drivers, which would then  
149 decrease after the event (H4). Trust questionnaires, gaze behaviour, and NDRA were  
150 analysed.

## 151 **2. METHOD**

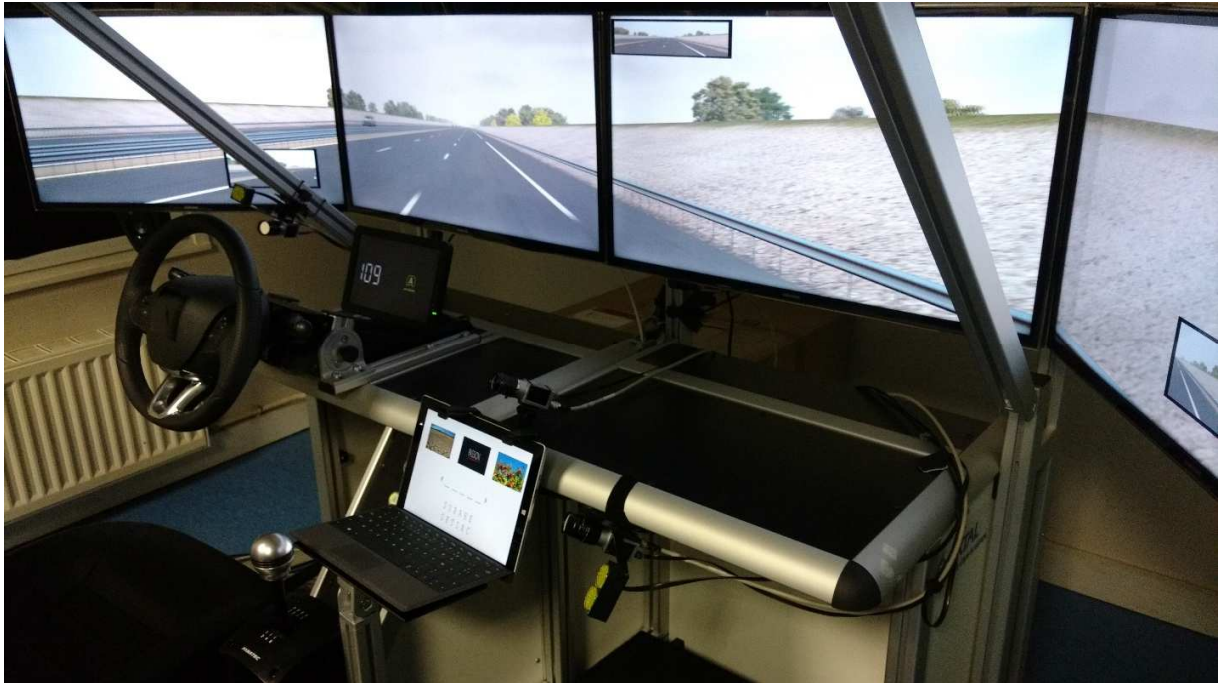
### 152 **2.1. Participants**

153 Before the experimental session, the level of trust of 90 potential participants was  
154 assessed by email, using a dedicated scale (see Level of trust assessment). A *k-means*  
155 clustering method was then used to partition the potential participants in two categories,  
156 based on their initial level of trust. The 20 most extreme participants in each category  
157 were selected to create two experimental groups: Trustful drivers (those with the highest  
158 initial level of trust,  $n = 20$ , 6F/14M,  $M = 39.55$  years old,  $SD = 9.09$ ) and Distrustful  
159 drivers (those with the lowest initial level of trust,  $n = 20$ , 14F/6M,  $M = 36.50$  years old,  
160  $SD = 8.50$ ). In total, 40 healthy adults (20 females,  $M = 38$  years old,  $SD = 8.8$ )  
161 participated in a driving task in a single session. All participants had held a valid driver's  
162 license for a minimum of three years ( $M = 18.5$ ,  $SD = 9.3$ ) and drove regularly ( $M = 6.3$   
163 drives per week,  $SD = 1.6$ ;  $M = 15,862$  kilometres per year,  $SD = 12,822$ ). Participants'  
164 perceived knowledge about HAD was assessed during the recruitment process on a

165 four-point scale (no knowledge, basic knowledge, intermediate knowledge, or advanced  
166 knowledge). Among participants, 12.5% had no knowledge, 50% had a basic  
167 knowledge, and 37.5% had an intermediate knowledge. Participants were recruited by  
168 email and selected based on their declared initial level of TiAD (see Section 2.3). All  
169 drivers had normal or corrected-to-normal vision, and they received 40€ of  
170 compensation for their participation. This research complied with the American  
171 Psychological Association Code of Ethics and the European law on General Data  
172 Protection Regulation. Informed consent was obtained from each participant.

## 173 **2.2. Apparatus**

174 The study was conducted in a static driving simulator equipped with four 32" 16/9 LCD  
175 screens giving a 120° horizontal field of view (Figure 1). The rear view was displayed on  
176 three digital mirrors, and dashboard information was provided by a 10" 16/9 LCD screen  
177 set behind the steering wheel. The driving simulation was controlled by the SCANeR™  
178 Studio software, developed by AV Simulation, France (<https://www.avsimulation.fr>). A  
179 10.1" Xenarc tablet installed in the central console of the simulator was used for HMI. A  
180 sideband on the left of the screen included a pictogram that informed drivers about the  
181 current vehicle state (i.e., "manual driving", "available HAD" or "activated HAD") as well  
182 as a button for HAD activation and specific pictograms when relevant. On the remaining  
183 portion of the screen, an Android™ emulator with games (e.g., Solitaire, Fruit Ninja,  
184 Mahjong) and internet access was displayed. The driver video-recording system was  
185 composed of four D-Link infrared cameras to investigate visual strategies and NDRA  
186 engagement.



*Figure 1. Driving simulator*

187                    **2.3.        Level of trust assessment**

188    *Initial and final level of learned TiAD* were measured using a nine-item questionnaire  
189    (see Table 1) on a six-point Likert scale with responses ranging from ‘not at all’ to  
190    ‘extremely’ to assess trust and trust-related dimensions such as perceived safety,  
191    likelihood of use in degraded conditions, and utility. The scale was designed to  
192    specifically assess TiAD, in accordance with previous studies (see Table 1). A short  
193    paragraph described a level 4 automated driving system (SAE, 2016) right before  
194    participants answered the trust scale, to ensure all of them understood the same  
195    concept when referring to “automated vehicle”. Items were formulated to be as  
196    inclusive as possible considering most participants had no prior experience with  
197    automated driving. In the final scale, items were formulated in the past tense, and were  
198    all directed towards the specific HAD system used during the experiment.

*Table 1. Initial and final level of TiAD assessment scales*

	Initial scale (before the experiment)	Final scale (after the experiment)	Origin
1	I would feel safe in an automated vehicle.	I felt safe in the automated vehicle.	O’Cass & Carlson (2012)
2	The automated driving system provides me with more safety compared to manual driving.	The automated driving system provided me with more safety compared to manual driving.	Payre et al. (2016)
3*	I would rather keep manual control of my vehicle than delegate it to the automated driving system on every occasion.	I would rather keep manual control of my vehicle than delegate it to the automated driving system on every occasion.	Payre et al. (2016)
4	I would trust the automated driving system decisions.	I trusted the automated driving system decisions.	O’Cass & Carlson (2012)
5	I would trust the automated driving system capacities to manage complex driving situations.	I trusted the automated driving system capacities to manage complex driving situations.	Egea & González (2011)
6	If the weather conditions were bad (e.g., fog, glare, rain), I would delegate the driving task to the automated driving system.	If the weather conditions were bad (e.g., fog, glare, rain), I would have delegated the driving task to the automated driving system.	Payre (2015)
7	Rather than monitoring the driving environment, I could focus on other activities confidently.	Rather than monitoring the driving environment, I could focus on other activities confidently.	Egea & González (2011)
8	If driving was boring for me, I would rather delegate it to the automated driving system than do it myself.	If driving was boring for me, I would rather delegate it to the automated driving system than do it myself.	Payre et al. (2016)
9	I would delegate the driving to the automated driving system if I was tired.	I would delegate the driving to the automated driving system if I was tired.	Payre et al. (2016)

199 \*Answers were inverted for scoring.

200 Scale properties were analysed using *R* 3.6.1, (R Core Team, 2017) using the *psych*  
201 (Revelle, 2020) and *lavaan* packages (Rosseel, 2012). Homogeneity and internal  
202 consistency were good (Cronbach’s  $\alpha = .93$ , McDonald’s  $\omega_h = .78$ , and  $\omega_t = .96$ ). The  
203 factor structure of this nine-item scale was studied via exploratory factor analysis (EFA).  
204 Following the recommendations of Costello and Osborne (2005), a maximum likelihood  
205 factor analysis was employed using varimax rotation to study the expected single factor  
206 (i.e., trust). The results of the EFA showed that a one-dimensional factor accounted for  
207 56.41% of the total variance of the data (Table 2). A confirmatory factor analysis (CFA)  
208 was then performed to better explore the scale’s properties. Data were partitioned, with

209 60% used for training and the remaining 40% for testing. The testing yielded the  
 210 following model:  $X^2 = 48.2$ ,  $df = 27$ ,  $p < .01$ , CFI = .80, RMSEA = .22 (CI low = .11; CI  
 211 high = 0.32) and SRMR = .11 (Table 2). Following Brown's (2015) recommendations  
 212 and given the small number of observations, the model seemed appropriate. The small  
 213 number of observations also induced three items (Q3, Q6, and Q9) to have  $p$ -value  
 214 higher than  $\alpha = .05$ . These items have been kept in the trust scale, because previous  
 215 works using higher number of observations showed they were relevant for trust  
 216 assessment (Manchon et al., 2021).

Items	EFA			CFA			
	Loadings	Complexity	Uniqueness	Estimate	Standard Error	z-value	$p$
Q1	0.80	1.00	0.35	1.000			
Q2	0.86	1.00	0.26	1.853	0.846	2.189	0.029
Q3	0.55	1.00	0.69	0.734	0.545	1.348	0.178
Q4	0.77	1.00	0.40	1.852	0.871	2.126	0.034
Q5	0.74	1.00	0.45	2.539	1.130	2.247	0.025
Q6	0.82	1.00	0.32	1.144	0.700	1.635	0.102
Q7	0.88	1.00	0.22	2.088	0.947	2.204	0.028
Q8	0.69	1.00	0.52	2.216	1.065	2.081	0.037
Q9	0.54	1.00	0.71	1.659	0.858	1.932	0.053

Table 2. EFA and CFA loadings

217 *Dynamic level of trust* was measured using a Single Trust Item ('I trusted automated  
 218 driving system decisions'; Item 4 from the previous scale, Table 1) on a six-point Likert  
 219 scale to investigate current drivers' level of trust after each scenario (Lee & Moray,  
 220 1994; Seppelt & Lee, 2019).

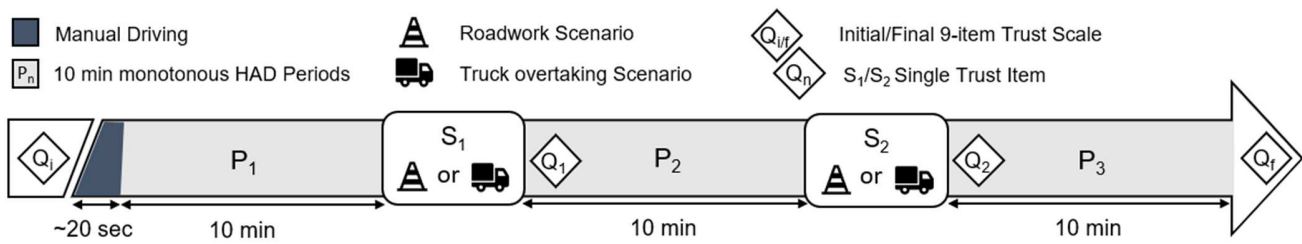


Figure 2. Experimental protocol

221 **2.4. Procedure**

222 Participants arrived at VEDECOM Institute and signed a consent form. They received a  
 223 10-minute practice to familiarize themselves with the driving simulator and the  
 224 automated driving (e.g., HAD activation, vehicle manoeuvres during non-critical  
 225 situations, pictograms, HMI, sounds). During the experimental task, participants  
 226 activated HAD after merging onto the highway. The vehicle speed was set at 130 km/h–  
 227 the maximum allowed speed on French highways–on a three-lane highway in low-  
 228 density traffic (three to six vehicles per kilometre). Manual takeover was not possible, to  
 229 ensure that all participants experienced the same scenarios, and they were free to  
 230 engage in NDRA (e.g., reading, texting, using the Android™ tablet, listening to the  
 231 radio). After 10 minutes of monotonous automated driving (P<sub>1</sub>), participants were  
 232 confronted with the first scenario (S<sub>1</sub>), which was indicated by a sound and a specific  
 233 pictogram five seconds prior to the event. They completed the first Single Trust Item  
 234 immediately following this event (Q<sub>1</sub>). The scenario was a roadwork area that was  
 235 signalled by traffic cones and a roadwork van. The vehicle strongly decelerated from  
 236 130 km/h to 90 km/h, at about 6 m/s<sup>2</sup>, until the time-to-collision (TTC) with the roadwork  
 237 area was 2.5 seconds. It then changed from the right lane to the left lane and returned  
 238 to the right lane after overtaking the obstacles. After the second 10-minute monotonous

239 automated driving period ( $P_2$ ), the second scenario ( $S_2$ ) occurred, indicated by the same  
240 sound and a specific pictogram five seconds prior to the event, and followed by the  
241 second Single Trust Item ( $Q_2$ ). This scenario was represented by a leading truck driving  
242 slowly (90 km/h) in the right lane, forcing the vehicle to decelerate from 130 km/h to 90  
243 km/h at about  $7 \text{ m/s}^2$ , until the TTC with the truck was 1.5 seconds, because the left  
244 lane was congested with dense traffic. Once the left lane had been cleared, the vehicle  
245 overtook the truck and returned to the right lane. The order of presentation of the two  
246 scenarios was counterbalanced to neutralize potential order effects. Finally, the third 10-  
247 minute period of monotonous driving ( $P_3$ ) and the final nine-item trust scale ( $Q_f$ )  
248 concluded the experimental session (Figure 2). Participants were then debriefed for  
249 approximately 10 minutes about their overall feelings concerning the experiment and  
250 their behaviour during HAD.

## 251 **2.5. Dependent variables**

252 *Dependent variables* included declared trust, glance count, glance duration, and NDRA  
253 engagement frequency.

254 *Trust scales* were scored averaging items, reversing item 3 (cf. Table 1). Item scores  
255 ranged from one to six. To compare overall trust evolution, the fourth item from the initial  
256 and final scales was used as a Single Trust Item.

257 *Visual strategies* and *NDRA* data were processed by manual video coding every one-  
258 tenth of one second. Due to technical problems, two Trustful participants were excluded  
259 from the analyses. For each change in gaze direction, one glance at the previously  
260 monitored area was counted (*glance count*), and the glance duration was added to the  
261 total glance duration towards that area, prior to being transformed into a percentage of

262 the total glance time (*glance duration*). Areas of interest were defined as ‘road’, ‘rear  
263 mirrors’, ‘dashboard’, ‘NDRA’, ‘HMI sideband’, ‘Android™ tablet’, and ‘other’ for all  
264 glances directed elsewhere. ‘Road’, ‘rear mirrors’, ‘HMI sideband’, and ‘dashboard’ were  
265 grouped into the category of ‘driving environment’ for analysis. ‘NDRA’ and ‘Android™  
266 tablet’ were grouped into the ‘NDRA’ category.

267 NDRA categories were defined based on participants’ most frequently observed  
268 activities: ‘mobile phone use’, ‘tablet use’, ‘reading’, and ‘radio use’. The other minor  
269 activities (e.g., grooming, drinking water, putting glasses on) were combined into a new  
270 category, ‘other’. These NDRA categories were opposed to ‘environment monitoring’,  
271 during which participants solely monitored the driving environment.

272 Data and graphics were processed using *R* 3.6.1 (R Core Team, 2017) and *ggplot2*  
273 (Wickham, 2016).

## 274 **3. RESULTS**

### 275 **3.1. Declarative trust**

276 The mean trust scores for the different questionnaires are reported in Table 3.

*Table 3. Mean trust scores for the Single Trust Items.*



Time	Group	Mean	SD	95% CI	
				Low	High
Initial Single Trust Item	Trustful	4.70	0.80	4.32	5.08
	Distrustful	2.90	0.79	2.53	3.27
1 <sup>st</sup> Scenario	Trustful	4.65	1.27	4.06	5.24
	Distrustful	3.30	1.56	2.57	4.03
2 <sup>nd</sup> Scenario	Trustful	5.10	1.17	4.55	5.65
	Distrustful	3.25	1.19	2.37	4.13
Final Single Trust Item	Trustful	5.30	0.73	4.96	5.64
	Distrustful	3.85	1.42	3.18	4.52

277 A two-way mixed-design ANOVA (Group x Time, 2 levels: Initial assessment and Final  
 278 assessment) was performed to investigate the effect of the experience on trust  
 279 construction in Trustful and Distrustful drivers (Figure 3). There was a significant effect  
 280 of the Group,  $F(1, 38) = 81.5$ ,  $p < .001$ ,  $\eta_p^2 = .682$ , and the Time,  $F(1, 38) = 12.3$ ,  
 281  $p < .001$ ,  $\eta_p^2 = .244$ . The interaction Groupe x Time was also significant,  $F(1, 38) = 8.21$ ,  
 282  $p < .01$ ,  $\eta_p^2 = .178$ . In short, declared trust was found to increase for both Trustful and  
 283 Distrustful participants during the interaction with the vehicle (H1), and the trust increase

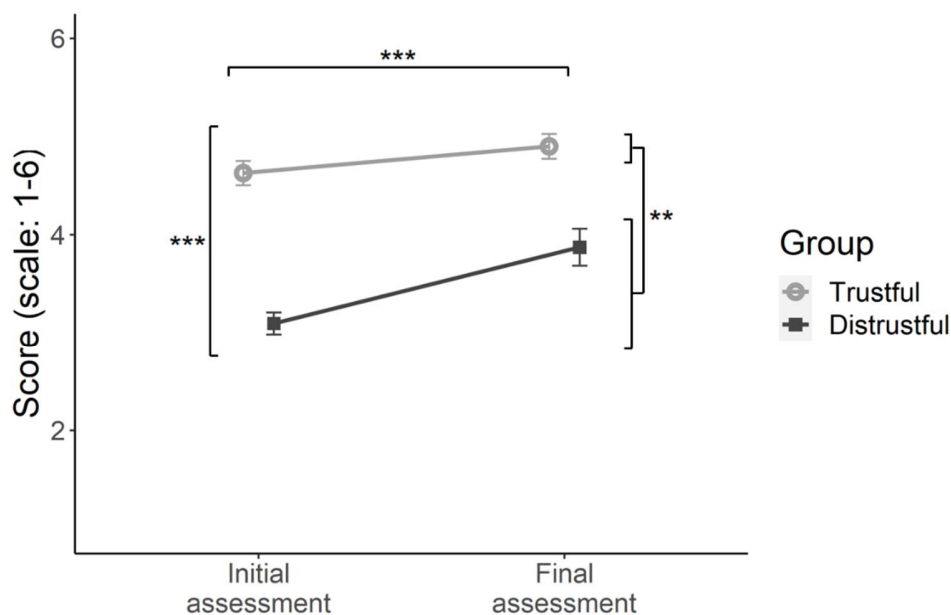


Figure 3. Initial and Final 9-item trust scales; error bars = standard

284 was higher for Distrustful drivers (H2).

285 Another two-way mixed-design ANOVA (Group x Time, 4 levels: Initial assessment, 1<sup>st</sup>  
 286 Scenario (S<sub>1</sub>), 2<sup>nd</sup> Scenario (S<sub>2</sub>) and Final assessment) was performed to investigate  
 287 scenarios' impact on drivers' early trust construction (Figure 4). There was a significant  
 288 effect of the Group,  $F(1, 38) = 29.4$ ,  $p < .001$ ,  $\eta_p^2 = .436$ , and the Time,  $F(3, 114) = 4.66$ ,  
 289  $p < .01$ ,  $\eta_p^2 = .109$ , but no interaction was found,  $F(3, 114) = 0.65$ ,  $p > .1$ ,  $\eta_p^2 = .017$ .

290 Post hoc tests using Bonferroni correction showed that the Initial assessment differed  
 291 significantly from Final assessment ( $p < .001$ ), but no other comparisons were  
 292 significant. As expected, the differences between both groups were significant in the  
 293 final assessment ( $p < .001$ ), confirming H3.

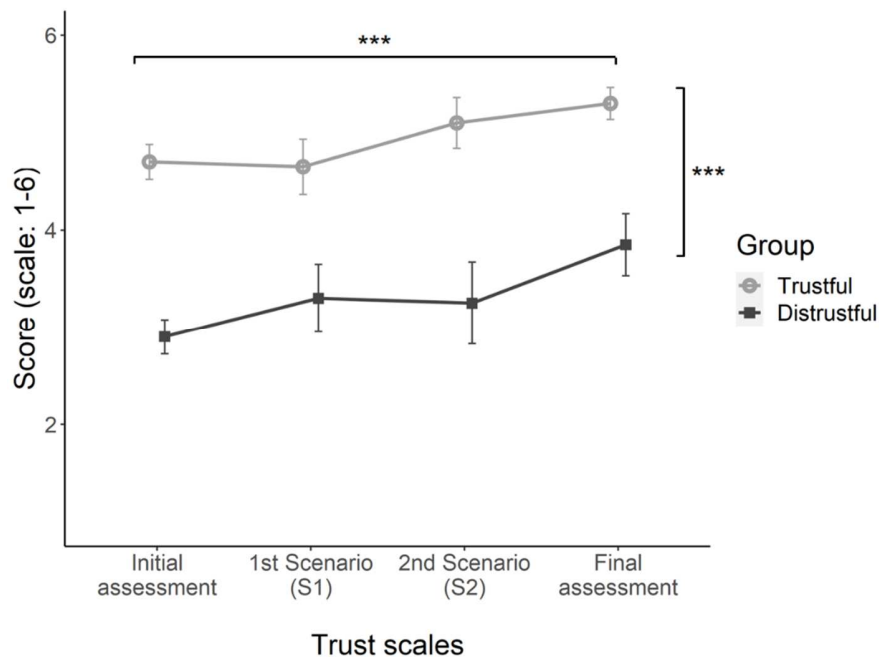


Figure 4. Declared level of TiAD evolution on Single Trust Items;  
 error bars = standard error

294 Motivated by the differences in trust reported by participants concerning the two  
 295 scenarios (62.5% of the participants declared *Truck* scenario as more critical, 20%  
 296 *Roadwork* scenario, 17.5% did not feel any difference), a two-way mixed design ANOVA  
 297 was conducted (Group x Scenario type, 2 levels: *Roadwork* scenario vs. *Truck*  
 298 scenario) (Figure 5). There was a significant effect of the Scenario type,  $F(1,$   
 299  $38) = 11.86$ ,  $p < .001$ ,  $\eta_p^2 = .238$  for both Trustful ( $p < .001$ ) and Distrustful ( $p < .001$ )  
 300 confirming that drivers reported less trust after the *Truck* scenario than after the  
 301 *Roadwork* scenario.

302 Data were therefore separated to analyse whether this difference had an impact on  
 303 early trust construction (Figure 6). A three-way mixed-design ANOVA (Group x Time x  
 304 Scenario Order, 2 levels: *Roadwork* → *Truck* vs. *Truck* → *Roadwork*) showed a main  
 305 effect of the Group,  $F(1, 36) = 29.2, p < .001, \eta_p^2 = .448$ , and Time,  $F(3, 108) = 5.75,$   
 306  $p < .001, \eta_p^2 = .138$ . The main effect of Scenario Order was not significant,  $F(1,$

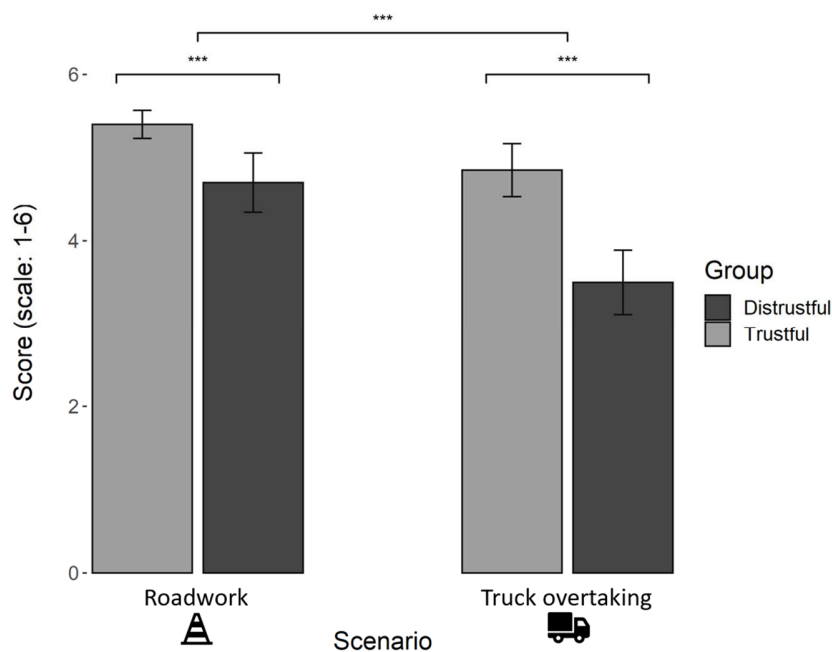


Figure 5. Declared level of TiAD depending on the Scenario;  
 error bars = standard error

307 36) = .044,  $p > .05, \eta_p^2 = .001$ .

308 The interaction between Scenario Order and Time was significant,  $F(3, 108) = 8.73,$   
 309  $p < .001, \eta_p^2 = .195$ . This revealed that, in sequence *Roadwork* → *Truck*, Initial  
 310 assessment differed from  $S_1$  ( $p < .001$ ) and  $S_2$  differed from Final assessment  
 311 ( $p < .001$ ), but in sequence *Truck* → *Roadwork*, Initial assessment differed from  $S_2$

312 ( $p < .01$ ). In short, early trust construction was found to differ according to Scenario  
 313 Order for both Trustful and Distrustful participants.

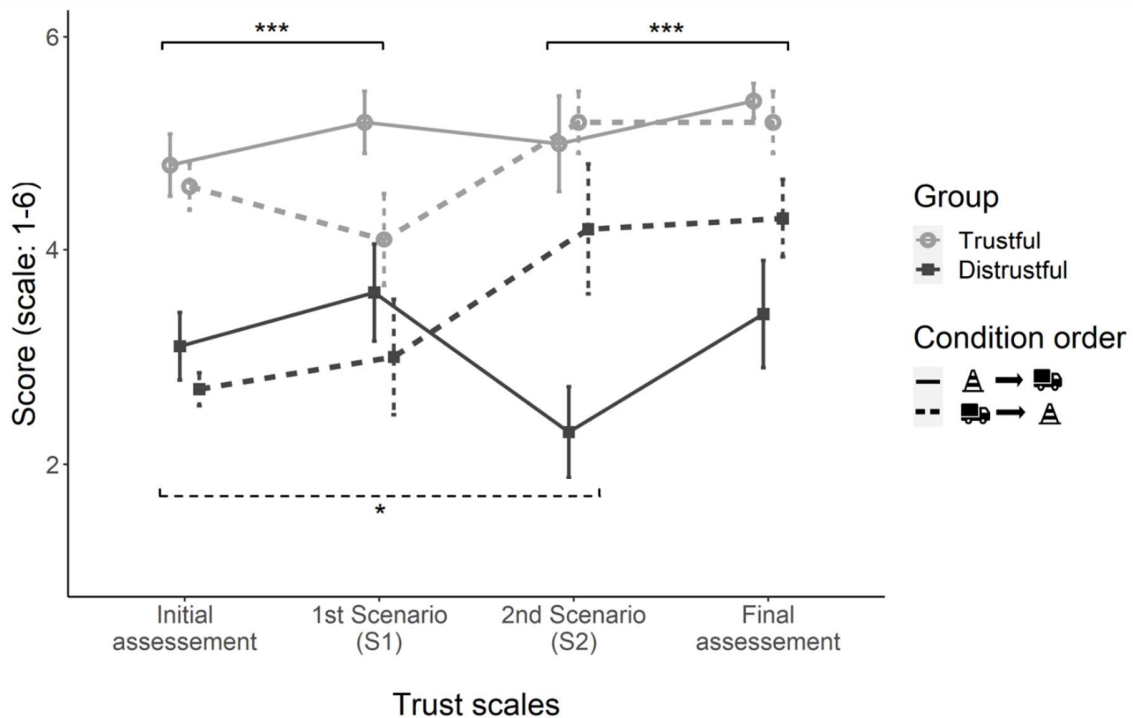


Figure 6. Declared level of TiAD evolution, depending on the Scenario Order;  
 error bars = standard error

314 **3.2. Visual behaviour**

315 As stated in the Method section, two Trustful participants were excluded from the further  
 316 analysis. The new Trustful group ( $n = 18$ ) showed an initial level of trust such as  $M =$   
 317  $4.72$ ,  $SD = 0.83$ . A t-test was run to compare the complete Trustful group ( $n = 20$ ) and  
 318 the smaller Trustful group ( $n = 18$ ),  $t(17) = 0$ ,  $^2 = 1$ , suggesting this reduction did not  
 319 invalidate the nature of the Trustful group. A two-way mixed-design ANOVA (Group x  
 320 Driving Period, 3 levels:  $P_1$  vs.  $P_2$  vs.  $P_3$ ) was conducted on glance count and glance  
 321 duration. Driving Periods were defined as the ten-minute period between the beginning  
 322 of the experiment and the first critical scenario ( $P_1$ ), the ten-minute period between both

323 critical scenarios (P<sub>2</sub>), and the remaining ten-minute period before the end of the  
 324 scenario (P<sub>3</sub>) (Figure 2). During monotonous driving, there was only a main effect of the  
 325 Driving Period on glance count towards the Driving environment (Road, Rear-mirrors

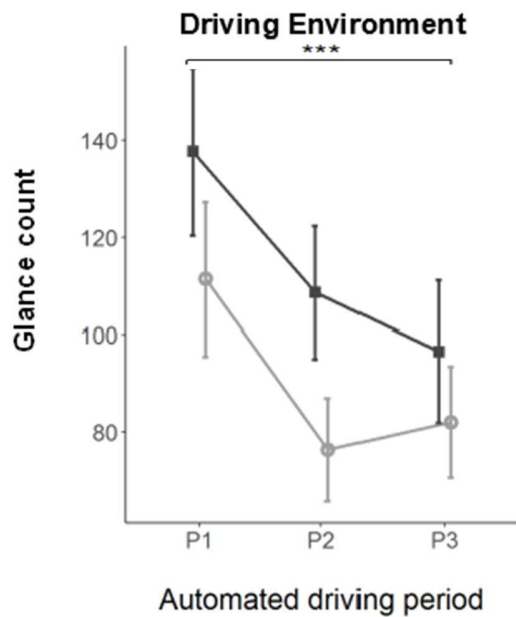


Figure 7. Glance count towards the driving environment during the automated Driving Periods; error bars = standard error

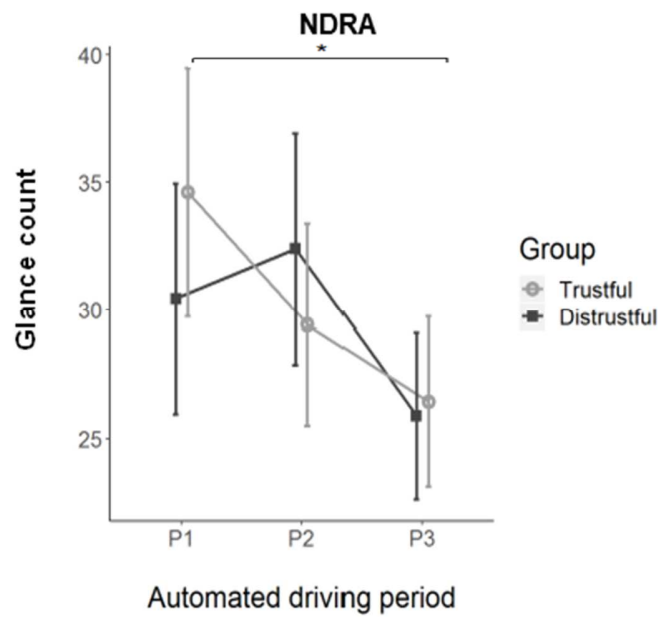


Figure 8. Glance count towards the NDRA during the automated Driving Periods; error bars = standard error

326 and Dashboard),  $F(2, 72) = 18.6$ ,  $p < .001$ ,  $\eta_p^2 = .341$  (Figure 7), and towards the  
 327 NDRA,  $F(2, 72) = 3.54$ ,  $p < .05$ ,  $\eta_p^2 = .090$  (Figure 8). A post hoc test showed that  
 328 glance count towards the Driving environment was reduced between P<sub>1</sub> and P<sub>2</sub> ( $p$   
 329  $< .001$ ), but no difference was found between P<sub>2</sub> and P<sub>3</sub> ( $p > .1$ ). In short, drivers'  
 330 number of eyes movements decreased during HAD for both groups, and this reduction  
 331 mainly concerned the first 10 minutes of HAD use.

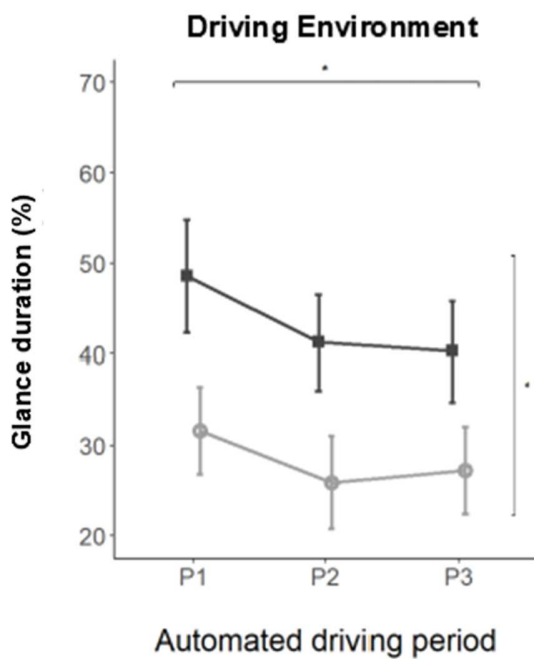


Figure 9. Proportion of glance duration (%) towards the driving environment during the automated Driving Periods; error bars = standard error

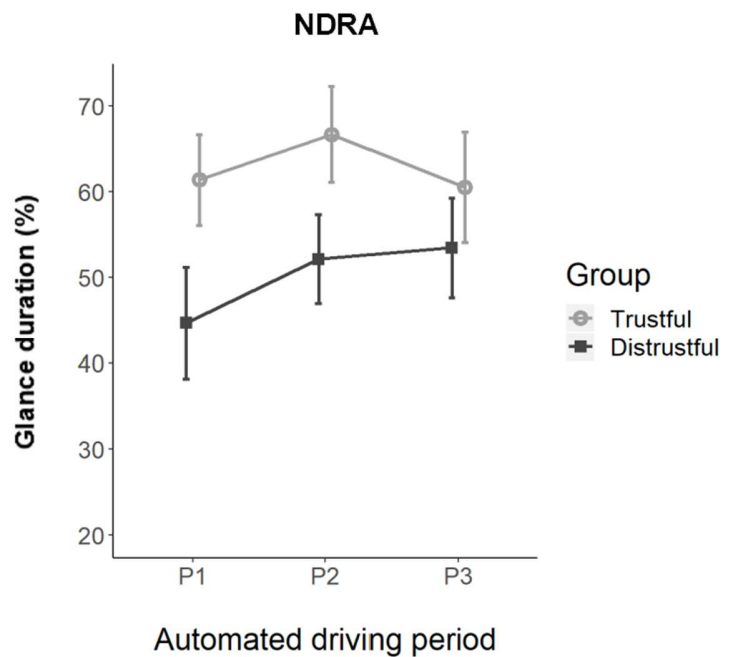


Figure 10. Proportion of glance duration (%) towards NDRA during the automated Driving Periods; error bars = standard error

332 Concerning glance duration towards the Driving environment, results showed a main  
 333 effect of the Group,  $F(1, 36) = 4.64, p < .05, \eta_p^2 = .114$  and a main effect the Driving  
 334 Period,  $F(2, 72) = 4.28, p < .05, \eta_p^2 = .106$  (Figure 9). A post hoc test showed that  
 335 glance duration was reduced between P<sub>1</sub> and P<sub>2</sub> ( $p < .05$ ), but no difference was found  
 336 between P<sub>2</sub> and P<sub>3</sub> ( $p > .1$ ). There were no significant differences concerning glance  
 337 duration towards NDRA (Figure 10). In short, drivers monitored road less frequently and  
 338 during less time (H1) over HAD and particularly during the first 10 minutes. Distrustful  
 339 drivers tend to check the driving environment during more time than Trustful drivers (H3).  
 340 In order to evaluate the visual behaviour evolution over time, a binary logistic regression  
 341 with repeated measures (*logit*) opposing glances towards the driving environment  
 342 (Road, Mirrors, and Dashboard) to all glances directed elsewhere (NDRA and Other)

343 was conducted. Temporal variables were time (squared) and 3 variables constructed  
 344 from simple, squared, and cubed values of the distance to each of the Scenarios (S<sub>1</sub> &  
 345 S<sub>2</sub>).

346 The binary logistic regression allows to bind a probability ( $p$ , here the probability that a  
 347 particular glance is directed towards the driving environment) to the glance's  
 348 characteristics ( $X_i$ ) and determines the intensity of these bonds ( $\beta_i$ ). It relies on a  
 349 formula ( $Ln\left(\frac{p}{1-p}\right) = \beta_0 + \beta_1 * X_1 + \beta_2 * X_2 + \beta_3 * X_3 + \dots + \beta_i * X_i$ ) where  $p$  corresponds to  
 350 the probability the driver is looking towards the driving environment,  $X_i$  correspond to  
 351 the glance's characteristics (e.g., is it from a male or female, is it from a Trustful or a  
 352 Distrustful, how many Time passed since the beginning of the experiment), and  $\beta_i$   
 353 correspond to the model's estimate parameters.

354 The final model (Table 4, Figure 11) includes all the variables described above. The *logit*  
 355 was controlled with Group, Gender and Conditions order. Reference variables are  
 356 indicated in italic. Due to the high number of observations in the model, the  $p$ -values  
 357 may be higher than they would be with ANOVAs. The dynamic of the variation, on the  
 358 other hand, can be fully considered.

*Table 4. Influence of specific periods of time on glances directed towards the driving environment between Trustful and Distrustful drivers*

	Trustful	
	$\beta$	Odds ratio
(Intercept)	4.672***	106.963
<i>Female</i>	-2.120*	0.120
<i>Distrustful</i>	2.080*	8.008
Time ( <sup>2</sup> )	-0.113***	0.893
Interaction Time ( <sup>2</sup> ) x Group	0.007***	1.007
<i>Condition A → B</i>	-0.937	0.391
Distance to Scenario		



Distance to $S_1$	1.348***	3.849
Distance to $S_1$ ( $^2$ )	-2.152***	0.116
Distance to $S_1$ ( $^3$ )	1.098***	2.999
Distance to $S_2$	1.364***	3.911
Distance to $S_2$ ( $^2$ )	-3.533***	0.029
Distance to $S_2$ ( $^3$ )	2.509***	12.301
<hr/>		
AIC	521415.4	
ROC	0.669	
Number of observations	564787	

359

360 The results of this model (Table 4, Figure 11) showed again that the probability to look  
 361 towards the driving environment during critical scenarios was higher for Distrustful  
 362 drivers than for Trustful drivers. Moreover, the probability to look towards the driving  
 363 environment was higher immediately after the scenarios than during the next driving

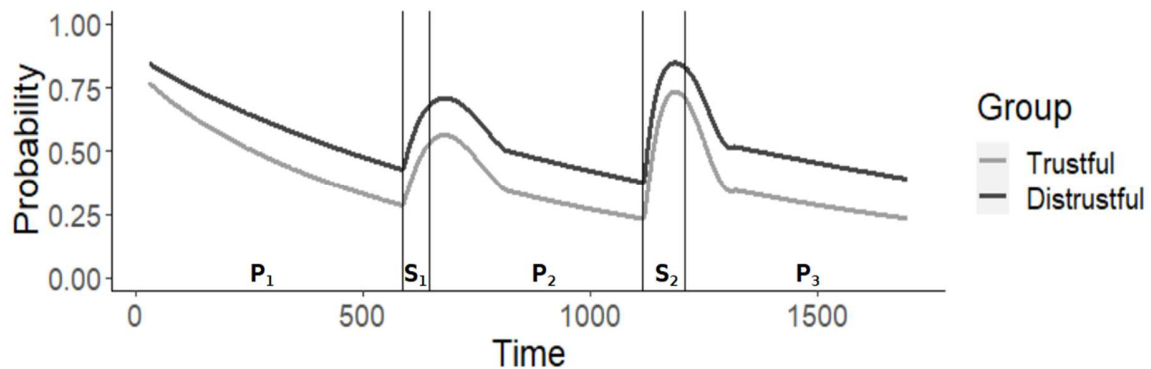


Figure 11. Evolution of the probability to look towards the driving environment over time.

364 period (for both drivers). These results confirmed the H4.

### 365 3.3. Non-driving-related activities

366 For the further analysis, two Trustful participants (the same that were excluded in the  
 367 previous analysis) were not included. Two participants (1 Trustful and 1 Distrustful) did  
 368 not engage in NDRA at all. During HAD, participants monitored the driving environment  
 369 21.9% of their time. The rest of the time, the most frequent NDRA they engaged in were  
 370 mobile use for texting, calling, playing or web browsing (33.6%), tablet use for playing  
 371 games and web browsing (17%), reading magazines or other documents (14.2%), and  
 372 listening to the radio (3.75%). Other minor activities represented 9.15% of participants'  
 373 time (Figure 12). A chi-square test of independence between Group and NDRA  
 374 engagement was significant,  $\chi^2(5, N = 38) = 27.272, p < .001$ . Distrustful drivers were  
 375 more likely to monitor the driving environment and less likely to engage in some NDRA

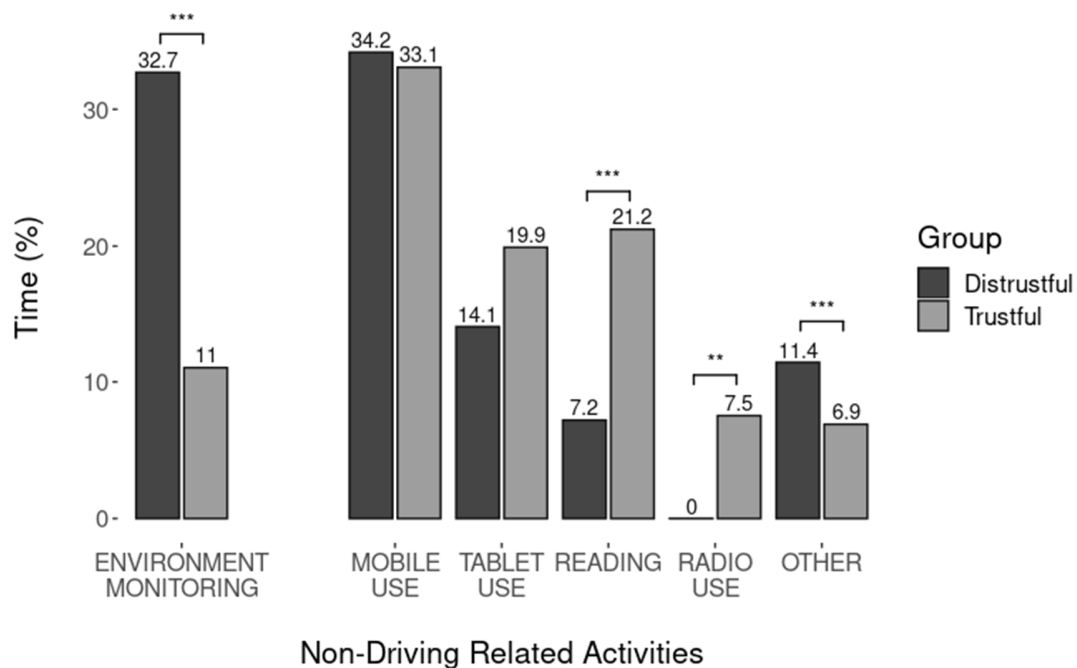


Figure 12. Drivers' environment monitoring and NDRA engagement during HAD.

376 (i.e., reading, radio use) than were Trustful drivers (H2).

## 377 **4. DISCUSSION**

378 The current study aimed to investigate the impact of simulated HAD experience (an  
379 overall level of trust increase was expected, H1), the influence of drivers' initial level of  
380 TiAD (the trust gain was expected to be greater for Distrustful drivers than Trustful  
381 drivers, H2; the difference in trust between both groups was expected to exist at the end  
382 of the experiment, H3), and the effects of safety-critical situations on drivers' visual  
383 strategies (which were expected to increase their driving environment monitoring during  
384 the critical situations, H4) during the first interactions with automated driving. Drivers'  
385 early trust construction during these 30 minutes drives was examined through  
386 questionnaires, visual behaviours, and non-driving related activities.

### 387 **4.1. Impact of HAD experience**

388 Reported trust was found to increase over time, particularly during the first 10 minutes  
389 of the experiment; this finding supports H1. This is consistent with previous results that  
390 showed an overall positive effect of the simulated HAD on trust (Gold et al., 2015;  
391 Hergeth et al., 2016). Similarly, Hartwich et al. (2018) observed a strong increase in  
392 trust during the first interaction with the system, followed by a stabilization. Glance  
393 behaviours are known to be influenced by driving automation introduction (e.g., Navarro  
394 et al., 2016, 2017, 2019). It was found in this experiment that participants' glance  
395 behaviour changed during the driving session and did so in a similar way for both  
396 groups. Glance count towards the driving environment decreased by 28%, while glance  
397 count towards NDRA decreased by 20%. This overall decreasing trend in glance count,  
398 combined with a decrease in glance duration towards the driving environment, indicated  
399 a change in drivers' visual strategy. Participants seemed to monitor the road less and

400 experienced increasing periods of fixed gaze. Moreover, results showed the decrease in  
401 glance count towards the driving environment mainly took place between  $P_1$  and  $P_2$ ,  
402 suggesting the decrease in road monitoring appeared rapidly after the beginning of the  
403 interaction. Contrarily, the glance count towards the NDRA decreased between  $P_2$  and  
404  $P_3$ , and not before. This result suggests drivers had fewer, but longer glances towards  
405 the NDRA after about 20 minutes of interaction with HAD. This may indicate an increase  
406 in trust but may also be the result of a driver's habituation to the driving simulation.  
407 Nevertheless, these data are consistent with previous results (Hergeth et al., 2016) and  
408 statements regarding trust influence on automation monitoring (e.g., Muir & Moray,  
409 1996). Given that drivers' low level of trust is likely to lead to a spontaneous manual  
410 recovery (Payre, Cestac, & Delhomme, 2016), this information raises concerns about  
411 drivers' ability to retake manual control in urgent situations if their mental representation  
412 of the surrounding environment is not current. Such manoeuvres could be more  
413 dangerous than HAD. The provision of specific feedback indicating that the HAD  
414 system is performing normally (Beller et al., 2013; Helldin et al., 2013; Wintersberger et  
415 al., 2019) could limit drivers' urgent need to take control.

#### 416 **4.2. Impact of initial level of TiAD**

417 Reported trust increased for both groups. However, Distrustful participants' trust rose  
418 significantly more after they had experienced HAD, as showed by the 9-item scale (0.08  
419 points for Trustful drivers, Cohen's  $d = .15$  and 0.77 points for Distrustful drivers,  
420 Cohen's  $d = .98$ , on the 1 to 6 Likert-type scale), thereby supporting H2. Nevertheless,  
421 the Initial-Final trust comparison using the Single Trust Item showed no such interaction  
422 in trust progression. This result may indicate the Single Trust Item is less sensible than

423 the 9-item scale, which integrate more information about participants' level of trust. This  
424 difference between trust scales measures should be examined in future studies.  
425 However, participants declared differences in HAD perception, expectation, and use  
426 intention. Thus, most of the Distrustful drivers felt uncomfortable with the idea of using  
427 automated driving on the road, while Trustful drivers were enthusiastic about the NDRA  
428 engagement possibilities. The initial level of trust may therefore be used as a good  
429 indicator of HAD use and driver's behaviour in the early use of automated driving.  
430 Moreover, Trustful and Distrustful passengers seem to have divergent needs concerning  
431 the continuity of feedback: Distrustful passengers may require continuous information  
432 about the HAD performance, while Trustful passengers may prefer situation-specific  
433 information only (Hartwich et al., 2021). More research is needed regarding drivers'  
434 needs for safer and more pleasant feedback from the vehicle relative to their initial  
435 levels of trust. On one hand, Trustful drivers may opt for less feedback in order to  
436 reduce their cognitive load while they engage in NDRA. On the other hand, Distrustful  
437 drivers may benefit from continuous head-up display feedback that provides them  
438 knowledge about HAD performance without interrupting their monitoring of the road.

439 In addition, reported trust was found to be consistently lower for Distrustful drivers than  
440 for Trustful drivers at each of the four assessments of trust made during the experiment;  
441 this finding supports H3. This result could indicate that pre-existing knowledge or beliefs  
442 influencing initial learned trust (Hoff & Bashir, 2015) can have a persistent effect on  
443 drivers' early trust construction during HAD. It, therefore, seems that 30 minutes of HAD  
444 is not enough to erase the difference in levels of trust between Distrustful and Trustful  
445 drivers. A longer HAD experience may be needed to overcome this initial low level of

446 trust, as has been shown in longer studies in other human-machine interaction contexts  
447 (Mayer, 2008; Sauer et al., 2015).

448 Drivers' visual strategies were consistent with declared level of trust: Distrustful drivers  
449 spent 43.4% of their time monitoring the road, in contrast to only 28.3% by Trustful  
450 drivers. This confirms previous findings by Hergeth et al. (2016). Accordingly, time  
451 allocated to NDRA showed the inverse pattern—50% of the time among Distrustful  
452 drivers vs. 62.8% among Trustful drivers—which is consistent with the results attained by  
453 Körber et al. (2018). Drivers' NDRA engagement confirmed the influence of the initial  
454 level of TiAD, as Distrustful drivers engaged less in some activities (e.g., reading, using  
455 the radio) and were more likely to monitor the driving environment. This finding  
456 contributes new elements that support the understanding of initial learned trust influence  
457 on early trust construction (Hoff & Bashir, 2015) in the context of HAD. Finally, the  
458 regression model showed an impact of gender on gaze behaviours. This effect was not  
459 found in other analyses (i.e., scores and NDRA engagement) nor in other studies  
460 (Feldhütter et al., 2016; Molnar et al., 2018; Schwarz et al., 2019). However, the  
461 imbalance in female/male distribution in both groups may have had an impact on these  
462 results, and further studies are needed to clarify the link between gender and initial level  
463 of trust.

#### 464 **4.3. Impact of critical situations**

465 The two scenarios presented in this experiment were realistic and common driving  
466 situations. Both situations induced a small trust drop and increased temporarily drivers'  
467 glances towards the driving environment, a finding that supports H4. Although the  
468 scenarios were designed to be similar, results indicated they were perceived differently.

469 Considering post-experimental debriefings, this difference is likely due to the roadwork  
470 scenario being perceived as safer than the truck overtaking scenario because the first  
471 one implied a simple lateral manoeuvre while the second one required both a  
472 longitudinal and a lateral manoeuvre. Furthermore, the roadwork area scenario was free  
473 of any traffic or pedestrian, while the truck overtaking scenario included several other  
474 cars and the truck. Therefore, dissociating both scenarios provided information about  
475 how perceived safety may impact early trust construction. The results suggested that  
476 trust evolves differently depending on exposure to critical situations. If drivers are  
477 exposed to a more critical situation at the very first interaction with automated driving,  
478 trust will not increase, but will remain stable; it will then grow gradually after a situation  
479 that is perceived as less dangerous. This may indicate that drivers are calibrating their  
480 trust and, therefore, are carefully observing the next AV action. Conversely, if the first  
481 interaction is perceived as safer than the second, trust is likely to increase significantly—  
482 potentially leading to overtrust—but also to return to its initial level after the second  
483 event. This supports the results of Walker et al. (2019) regarding the importance of early  
484 interaction in TiAD construction. Trust calibration seems to be more irregular. Again, this  
485 reduction in TiAD may lead to a manual recovery (Payre, Cestac, & Delhomme, 2016),  
486 possibly resulting in a poorly realized manoeuvre.

487 These results indicate that first experiences with automated driving systems may have a  
488 stronger influence on short-term trust calibration. Proper drivers' training for automated  
489 driving is a current issue in human factors research (Payre et al., 2016; Wintersberger  
490 et al., 2016). Our results suggest that it may be valuable to experience a critical  
491 situation during the first interactions with automated driving, particularly for Distrustful

492 people, in order to improve drivers' trust calibration. Nonetheless, given the small  
493 number of participants in each condition, this finding needs to be confirmed by further  
494 research.

#### 495 **4.4. Limitations and perspectives**

496 Because of the study's design, participants were not randomly assigned to either the  
497 Trustful or the Distrustful groups in the current study but were selected prior to the  
498 experiment, based on their initial trust score. This *quasi-experimental* approach was  
499 chosen to ensure that both groups were homogenous and contained particularly Trustful  
500 or particularly Distrustful people, in contrast to a posterior median-split design. Because  
501 participants had various profiles and no common points, except for their initial level of  
502 trust, this factor does not seem to pose a threat to the study's internal validity.  
503 Nevertheless, this must be taken into account when the study's results are examined.

504 In this experiment, to guarantee that all participants experienced both scenarios,  
505 takeover control of the vehicle was not allowed. This methodological choice increased  
506 experimental control but was less natural, as participants could not return to manual  
507 control. Other studies are also required in order to investigate the influence of the timing  
508 of critical situations during one or more sessions. As has been stated by Hoff and Bashir  
509 (2015), system malfunctions or operators' experience with the system may impact  
510 learned trust. Here, each condition occurred after 10 minutes of HAD in a single  
511 session; however, trust might evolve differently after longer HAD periods of use, multiple  
512 driving sessions, or a higher number of critical scenarios experienced during HAD.  
513 Furthermore, it may be valuable to investigate the impact of other trust factors (Hoff &  
514 Bashir, 2015), such as different driving environments or mental workload, on drivers'



515 behaviour during HAD use. Moreover, the simulated environment provided a widely  
516 replicable experiment, but it also decreased participants' perceived risk. On-road studies  
517 may explore TiAD related factors with a less-biased feeling of safety by participants.

## 518 **5. CONCLUSION**

519 This study confirms previously established relationships between self-reported trust and  
520 road monitoring during HAD and offers insights into drivers' possible NDRA engagement  
521 following HAD. It provides additional information regarding the influence of the initial  
522 level of trust on further trust development during the first interactions with HAD. It also  
523 shows that drivers with high initial levels of TiAD are more likely to engage in NDRA, in  
524 contrast with drivers with low initial levels of TiAD. These initial levels of trust may  
525 influence the type of NDRA that drivers engage in, as Trustful drivers seems to be more  
526 prone to read than Distrustful ones.

527 Car manufacturers should be aware of these effects and may use simulated HAD to  
528 help drivers calibrate an appropriate level of TiAD relative to the capabilities of a  
529 particular HAD system.

530

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538

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